



<b>PROGRAM</b>	<b>BCOM in BFSI (Banking, Financial Services &amp; Insurance)</b>
<b>SEMESTER</b>	<b>4</b>
<b>COURSE TITLE</b>	<b>Introduction to Indian Capital Markets</b>
<b>COURSE CODE</b>	<b>04BC0453</b>
<b>COURSE CREDITS</b>	<b>3</b>
<b>COURSE DURATION</b>	<b>45 Hours</b>

**COURSE OUTCOMES: After Completion of the course, Students will be able to:**

- Describe the structure, instruments, and functioning of the Indian capital markets, including primary and secondary markets.
- Analyze equity trading mechanisms, platforms, and investor behavior using real-time and historical data.
- Examine the fundamentals of derivatives and debt instruments, and their application in risk management and portfolio planning.
- Evaluate mutual fund schemes and construct diversified investment portfolios using performance metrics and market tools.

**COURSE CONTENTS:**

<b>Unit No</b>	<b>Unit / Sub Unit</b>	<b>Sessions</b>
I	<b>Capital Market Overview</b> <ul style="list-style-type: none"> <li>• Functions of Capital Markets</li> <li>• Primary Market vs. Secondary Market</li> <li>• IPO Process &amp; Book Building</li> <li>• Regulatory Framework – SEBI, RBI</li> <li>• Stock Exchanges – NSE, BSE</li> <li>• Depositories – NSDL, CDSL</li> <li>• Market Participants – Brokers, Custodians</li> <li>• Case Study: IPO journey of a recent tech startup</li> </ul>	15
II	<b>Equity Markets &amp; Trading</b> <ul style="list-style-type: none"> <li>• Types of Equity – Common, Preferred</li> <li>• Stock Market Indices – Nifty, Sensex</li> <li>• Trading &amp; Settlement Mechanism</li> <li>• Trading Platforms – BSE Star, Zerodha Kite</li> <li>• Margin Trading &amp; Pledging</li> <li>• Case Study: Stock performance analysis of a blue-chip company</li> </ul>	10
III	<b>Derivatives &amp; Debt Market</b> <ul style="list-style-type: none"> <li>• Futures &amp; Options – Basics</li> <li>• Hedging, Arbitrage &amp; Speculation</li> <li>• Options Greeks</li> </ul>	10

	<ul style="list-style-type: none"> <li>• Government Securities &amp; Corporate Bonds</li> <li>• Yield Curve &amp; Bond Valuation</li> <li>• Credit Rating Agencies</li> <li>• Case Study: Interest rate hedging strategy of a corporate</li> </ul>	
IV	<b>Mutual Funds &amp; Portfolio Construction</b> <ul style="list-style-type: none"> <li>• Role of Mutual Funds in Capital Markets</li> <li>• Portfolio Building Approach</li> <li>• Equity vs Debt Allocation</li> <li>• Diversification Principles</li> <li>• SIP &amp; STP Strategies</li> <li>• Evaluation Metrics – Alpha, Sharpe, R-Squared</li> <li>• Case Study: Portfolio rebalancing during a market crash</li> </ul>	10

#### EVALUATION:

The students will be evaluated on a continuous basis and broadly the scheme given below will be followed:

	Particulars	Weight age
A	CSE (Cumulative Semester Evaluation)	20
B	IA (Internal Assessment)	30
C	End Semester Evaluation-Theory	50
D	TW	00

#### SUGGESTED READINGS:

##### Text Books:

Sr. No	Author/s	Name of the Book	Publisher	Edition & Year of Publication
1	S. Kevin	Security Analysis and Portfolio Management	PHI Learning	4th Edition , 2022
2	NCFM/NISM	Securities Market: A Beginner's Module	National Institute of Securities Markets (NISM)	Latest , Online Version

##### Reference Books:

Sr. No	Author/s	Name of the Book	Publisher	Edition & Year of Publication
1	Punithavathy Pandian	Security Analysis and Portfolio Management	Vikas Publishing House	6th Edition,2021